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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Timothy M. Swager et al.  
Serial No.: Not yet assigned  
Confirmation No.: Not yet assigned  
Filed: Herewith  
For: POLYMERS WITH HIGH INTERNAL FREE VOLUME  
Examiner: Not yet assigned  
Art Unit: Not yet assigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d) and before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

764547

Serial No.: Not yet assigned  
Conf. No.: Not yet assigned

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Art Unit: Not yet assigned

The following co-pending applications that may contain subject matter related to this application are enclosed unless the earlier application is identified herein and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Atty Docket No.</u>
09/305,379	May 5, 1999	T.M. Swager et al.	M0925.70062US00
09/935,060	August 21, 2001	T.M. Swager et al.	M0925.70094US00

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

DE 198 06 037 generally relates to triptycene polymers and copolymers.

DE 197 44 792 generally relates to triptycene derivatives and their use for optoelectronic applications, in particular as electroluminescent materials.

An English-language translation of Japanese application no. 05-113286, filed May 14, 1993, is enclosed.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

764547

Serial No.: Not yet assigned  
Conf. No.: Not yet assigned

- 3 -

Art Unit: Not yet assigned

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Timothy M. Swager et al., Applicants*

By: 

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Docket No. M0925.70094US01

Date: January 26, 2004

**XNDDX**

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: Not yet assigned	ATTY. DOCKET NO.: M0925.70094US01
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: Herewith	CONFIRMATION NO.: Not yet assigned
		APPLICANT: Timothy M. Swager et al.	
		GROUP ART UNIT: Not yet assigned	EXAMINER: Not yet assigned
Sheet	1	of	3

# **U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A1	*4,356,429	A	Tang	10-26-1982
	A2	*4,687,732	A	Ward et al.	08-18-1987
	A3	*4,927,768	A	Coughlin et al.	05-22-1990
	A4	*4,946,890	A	Meador	08-07-1990
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	A7	*5,194,393	A	Hugl et al.	03-16-1993
	A8	*5,236,808	A	Smothers	08-17-1993
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	A10	*5,254,633	A	Han et al.	10-19-1993
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FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	B1	*DE	197 44 792	A1	Hoechst AG	04-15-1999	N
	B2	*DE	198 06 037	A1	Aventis Research & Technologies GmbH	08-18-1999	N
	B3	*EP	0 442 123	A1	Neste Oy	08-21-1991	
	B4	*EP	1 011 154	A1	Sony International (Europe) GmbH	06-21-2000	
	B5	*JP	05-113286		Yamamoto	11-22-1994	
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	B7	*WO	95/16681	A1	Trustees of the University of Pennsylvania	06-22-1995	
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	B9	*WO	02/16463	A2	Massachusetts Institute of Technology	02-28-2002	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C1	*CHEN L. et al., "Tuning the properties of conjugated polyelectrolytes through surfactant complexation," <i>J. Am. Chem. Soc.</i> , vol. 122, no. 38, pp. 9302-9303, 2000	
	C2	*CHEN L. et al., "Highly sensitive biological and chemical sensors based on reversible fluorescence quenching in a conjugated polymer," <i>PNAS</i> , vol. 96, no. 22, pp. 12287-12292, 1999	
	C3	*FU D.-K. et al., "Alternating poly(pyridylvinylphenylenevinylene)s: Synthesis and solid state organizations," <i>Tetrahedron</i> , vol. 53, no. 45, pp. 15487-15494, 1997	
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	C5	*GAYLORD et al., "DNA detection using water-soluble conjugated polymers and peptide nucleic acid probes," <i>Proc Natl Acad Sci USA</i> , August 20, 2002, Vol. 99, No. 17, pp. 10954-10957	
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	C8	*HEEGER P.S. & Heeger, A.J., "Making sense of polymer-based biosensors," <i>Proc. Natl Acad Sci USA</i> , vol. 96, no. 22, pp. 12219-12221, 1999	
	C9	*HÖGER S. et al., "Synthesis, aggregation, and adsorption phenomena of shape-persistent macrocycles with extrannular polyalkyl substituents," <i>J. Am. Chem. Soc.</i> , vol. 123, no. 24, pp. 5651-5659, 2001	
	C10	*JONES R.M. et al., "Superquenching and its applications in j-aggregated cyanine polymers," <i>Langmuir</i> , vol. 17, no. 9, pp. 2568-2571, 2001	
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	C13	*KRAFT et al., "Electroluminescent Conjugated Polymers — Seeing Polymers in a New Light," <i>Angew. Chem. Int. Ed.</i> 1998, 37, 402-428	
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Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C19	*MOIA, F. et al., "Optical LLP/LCP Devices: A New Generation of Optical Security Elements," <i>Optical Security and Counterfeit Deterrence Techniques III</i> , SPIE Vol. 3973 (2000), pp. 196-203.	
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	C28	*SWAGER, T. M. "The molecular wire approach to sensory signal amplification," <i>Acc. Chem. Res.</i> , vol. 31, no. 5, pp. 201-207, 1998	
	C29	*TAN et al., "Photophysics, aggregation and amplified quenching of a water-soluble poly(phenylene ethynylene)," <i>Chem. Commun.</i> , 2002, pp. 446-447	
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	C38	*ZHOU Q. & T.M. Swager, "Fluorescent chemosensors based on energy migration in conjugated polymers: The molecular wire approach to increased sensitivity," <i>J. Am. Chem. Soc.</i> , vol. 117, no. 50, pp. 12593-12602, 1995	

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EXAMINER	/Duc Truong/	DATE CONSIDERED	04/18/2008
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/935,060, filed August 21, 2001, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).